

# Double ramification hierarchies and their applications

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# Integrable systems

Evolutionary PDEs :

$$u^\alpha = u^\alpha(x, t), \quad x \in S^1, \quad \alpha = 1, \dots, N$$

$$\frac{\partial u^\alpha}{\partial t} = F(u, u_x, u_{xx}, \dots)$$

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Poisson structure :

$$\{f, \bar{g}\}_K = \sum_{s \geq 0} \frac{\partial f}{\partial u_s^\mu} \partial_x^s \left( K^{\mu\nu} \frac{\delta \bar{g}}{\delta u^\nu} \right)$$

$$K^{\mu\nu} = \sum_{j \geq 1} K_j^{\mu\nu} \partial_x^j, \quad K_j^{\mu\nu} \in \mathcal{A}^{[-j+1]}$$

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Coordinate (Miura) transformations :

$$\tilde{u}^\alpha = \tilde{u}^\alpha(u_*, \varepsilon) \in \mathcal{A}^{[0]}$$

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Action on Poisson bracket :

$$K_{\tilde{u}}^{\alpha\beta} = (L^*)_\mu^\alpha \circ K_u^{\mu\nu} \circ L_\nu^\beta$$

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## Theorem (Getzler '02)

*There exist a Miura transformation bringing any Poisson bracket to the standard form*

$$K^{\mu\nu} = \eta^{\mu\nu} \partial_x, \quad \eta^{\mu\nu} \text{ constant, symmetric and nondegenerate}$$



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Example (KdV) :

$$N = 1, \quad K = \partial_x$$

$$\bar{h}_{\text{KdV}} = \int \left( \frac{u^3}{6} + \frac{\varepsilon^2}{24} u u_{xx} \right) dx$$

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$$\bar{h}_0 = \int \left( \frac{u^2}{2} \right) dx$$

$$\bar{h}_1 = \int \left( \frac{u^3}{6} + \frac{\varepsilon^2}{24} uu_{xx} \right) dx$$

$$\bar{h}_2 = \int \left( \frac{u^4}{24} + \frac{\varepsilon^2}{24} (uu_x^2 + u^2 u_{xx}) + \frac{\varepsilon^4}{480} uu_{xxxx} \right) dx$$

...

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Tau functions :Let  $\partial_x \Omega_{\alpha,p;\beta,q}(u_*^*; \varepsilon) := \{h_{\alpha,p-1}, \bar{h}_{\beta,q}\}_K.$ Then for any solution  $u^*(x, t, \varepsilon)$  there exists  $F(t_*^*, \varepsilon)$ 

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- Normal coordinates :  $\tilde{u}^\alpha = \eta^{\alpha\mu} h_{\mu,-1}(u_*^*; \varepsilon)$
- $$K_{\tilde{u}}^{\alpha\beta} = \eta^{\alpha\beta} \partial_x + O(\varepsilon), \quad \eta \text{ const. sym. nondeg.}$$

# Integrable systems

Normal Miura transf. : (a Miura that preserves the tau structure)

Let  $u^\alpha$  already be normal coordinates

and let  $\mathcal{F}(u_*^*; \varepsilon) \in \mathcal{A}^{[-2]}$  :

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Effect on tau functions :  $\tilde{F}(t_*^*; \varepsilon) = F(t_*^*; \varepsilon) + \mathcal{F}(u_*^*(x, t_*^*; \varepsilon); \varepsilon)|_{x=0}$

# Canonical quantization wrt standard Poisson bracket

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$$[f, \bar{g}] = \sum_{\substack{n \geq 1 \\ r_1, \dots, r_n \geq 0 \\ s_1, \dots, s_n \geq 0}} \frac{(-i)^{n-1} \hbar^n}{n!} \frac{\partial^n f}{\partial u_{s_1}^{\alpha_1} \dots \partial u_{s_n}^{\alpha_n}} (-1)^{\sum_{k=1}^n r_k} \left( \prod_{k=1}^n \eta^{\alpha_k \beta_k} \right) \times$$

$$\times \sum_{j=1}^{2n-1 + \sum (s_k + r_k)} (-1)^{\frac{2n-1 + \sum (s_k + r_k) - j}{2}} C_j^{s_1+r_1+1, \dots, s_n+r_n+1} \partial_x^j \frac{\partial^n g}{\partial u_{r_1}^{\beta_1} \dots \partial u_{r_n}^{\beta_n}}.$$

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$$\text{Li}_{-d_1}(z) \dots \text{Li}_{-d_k}(z) = C_j^{d_1, \dots, d_k} \text{Li}_{-j}(z), \quad \text{Li}_{-d}(z) := \sum_{k \geq 0} k^d z^k.$$

# Moduli space of curves

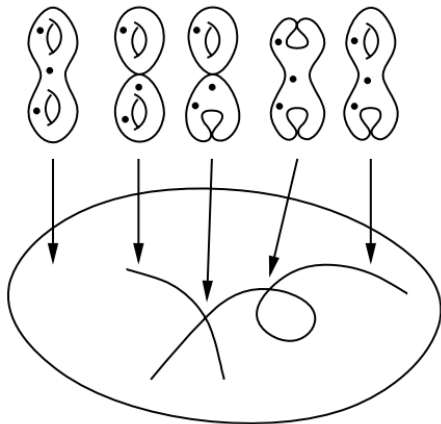
$$\overline{\mathcal{M}}_{g,n} = \left\{ \begin{array}{l} \text{closed stable Riemann surfaces of genus } g, \\ \text{with } n \text{ distinct marked points } x_1, \dots, x_n \end{array} \right\} / \sim$$

$\mathcal{C}$  stable : smooth but for possible nodal singularities, with  $\text{Aut}(\mathcal{C})$  finite

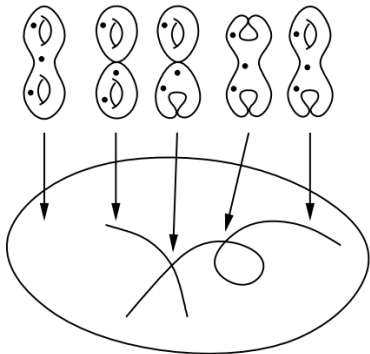
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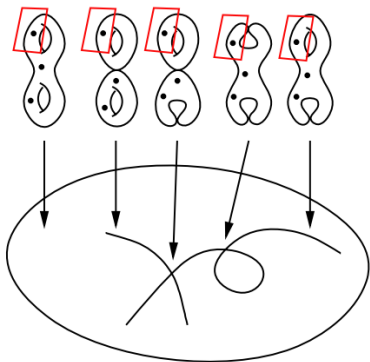
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$\overline{\mathcal{M}}_{g,n}$  is compact of dimension  $3g - 3 + n$  [Deligne, Mumford, '69]

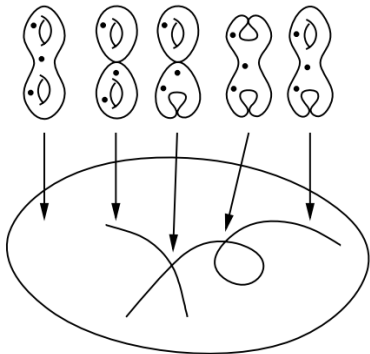




Tautological bundles :

$$L_i \rightarrow \overline{\mathcal{M}}_{g,n}, \quad i = 1, \dots, n$$

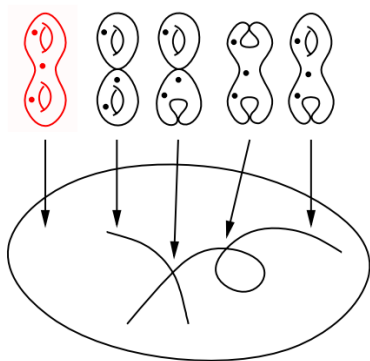




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$$\psi_i = c_1(L_i) \in H^2(\overline{\mathcal{M}}_{g,n}, \mathbb{Q})$$

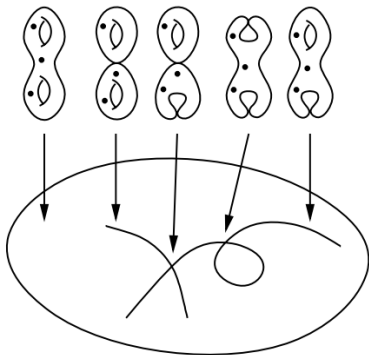
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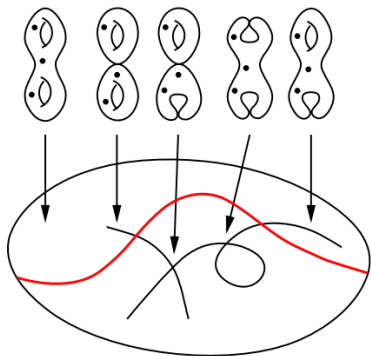
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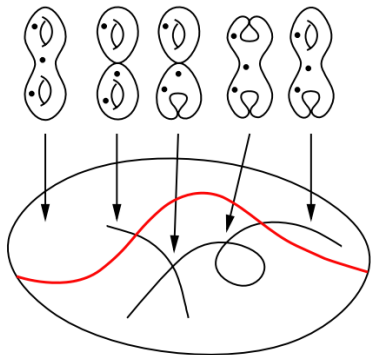
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Double ramification cycle :

$$DR_g(a_1, \dots, a_n) = \{(C; x_1, \dots, x_n) \in \overline{\mathcal{M}}_{g,n} \mid \exists f, (f) = \sum a_i x_i\} \subset \overline{\mathcal{M}}_{g,n}$$

$$\dim DR_g(a_1, \dots, a_n) = 2g - 3 + n, \quad a_i \in \mathbb{Z}$$



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$$DR_g(a_1, \dots, a_n) = PD \left( p_* [\overline{\mathcal{M}}_{g,(a_1, \dots, a_n)}(\mathbb{P}^1; 0, \infty)]^{\text{vir}} \right) \in H^{2g}(\overline{\mathcal{M}}_{g,n}, \mathbb{Q})$$

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Hain's formula :

$$\overline{\mathcal{M}}_{g,n} \supset \mathcal{M}_{g,n}^{ct} = \{C \in \overline{\mathcal{M}}_{g,n} \mid \text{all nodes of } C \text{ are separating}\}$$

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$$H^{2g}(\mathcal{M}_{g,n}^{ct}) \ni DR_g(a_1, \dots, a_n)|_{\mathcal{M}_{g,n}^{ct}} = \frac{1}{g!} \left( -\frac{1}{4} \sum_{J \subset \{1, \dots, n\}} \sum_{h=0}^g a_J^2 \delta_h^J \right)^g$$

$$a_J := \sum_{j \in J} a_j, \quad \delta_h^J = \left\{ \begin{array}{c} \mathcal{J} \qquad \qquad \mathcal{J}^c \\ \text{---} \text{---} \text{---} \text{---} \\ \begin{array}{cc} \begin{array}{c} x \dots x \\ \cup \dots \cup \\ h \end{array} & \begin{array}{c} x \dots x \\ \cup \dots \cup \\ g-h \end{array} \end{array} \right\}, \quad \delta_0^{\{i\}} = -\psi_i$$

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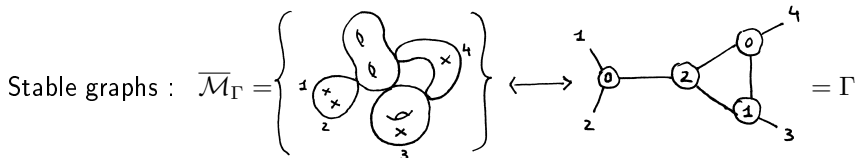
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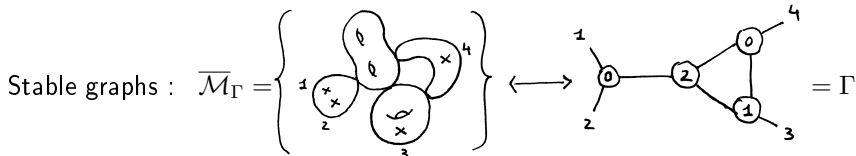
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Weightings mod  $r$  :  $w : H(\Gamma) \rightarrow \{0, \dots, r-1\}$ , such that

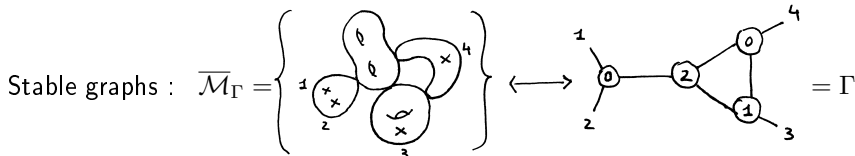
$$w(h_i) = a_i \text{ mod } r, \quad w(h) + w(h') = 0 \text{ mod } r$$

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Potential :

$$F(t_*^*; \varepsilon) := \sum_{g \geq 0} \varepsilon^{2g} F_g(t_*^*), \quad \text{where}$$

$$F_g(t_*^*) := \sum_{\substack{n \geq 0 \\ 2g - 2 + n > 0}} \frac{1}{n!} \sum_{d_1, \dots, d_n \geq 0} \left\langle \prod_{i=1}^n \tau_{d_i}(e_{\alpha_i}) \right\rangle_g \prod_{i=1}^n t_{d_i}^{\alpha_i},$$

$$\langle \tau_{d_1}(e_{\alpha_1}) \dots \tau_{d_n}(e_{\alpha_n}) \rangle_g := \int_{\overline{\mathcal{M}}_{g,n}} c_{g,n}(\otimes_{i=1}^n e_{\alpha_i}) \prod_{i=1}^n \psi_i^{d_i}.$$

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- Witten's  $r$ -spin classes :  $V = \mathbb{C}^{r-1}$ ,  $\eta^{\alpha\beta} = \delta_{\alpha+\beta,r}$

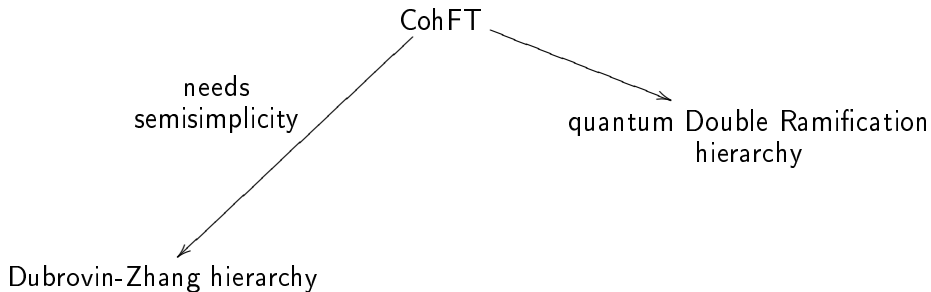
$$c_{g,n}^{r\text{-spin}}(e_{\alpha_1} \otimes \dots \otimes e_{\alpha_n}) = (-1)^{d_{r,1-g}} p_* c_{g,n}^{\text{vir}}(\alpha_1, \dots, \alpha_n)$$

$$\text{where } c_{g,n}^{\text{vir}}(\alpha_1, \dots, \alpha_n) \in H^*(\mathcal{S}_{g,n}^r(\alpha_1, \dots, \alpha_n))$$

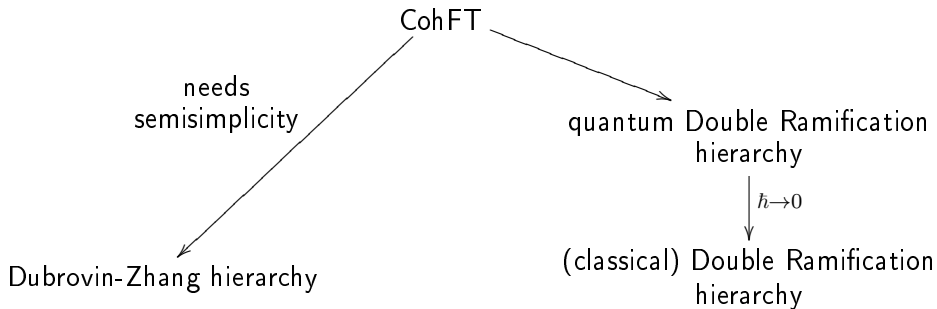
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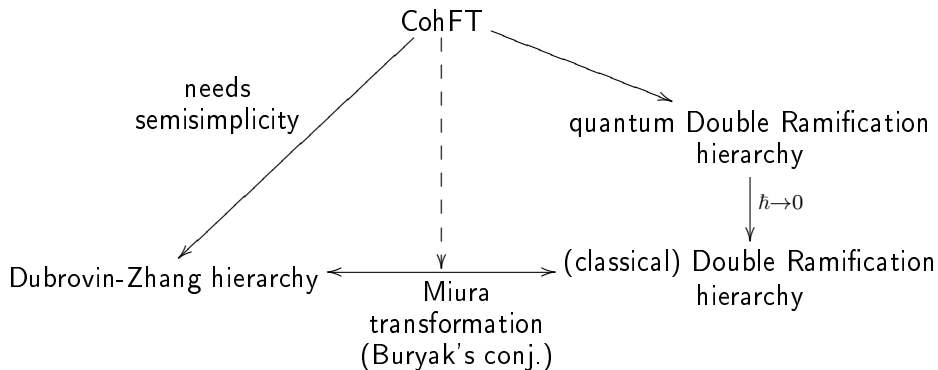
# Integrable hierarchies from CohFTs



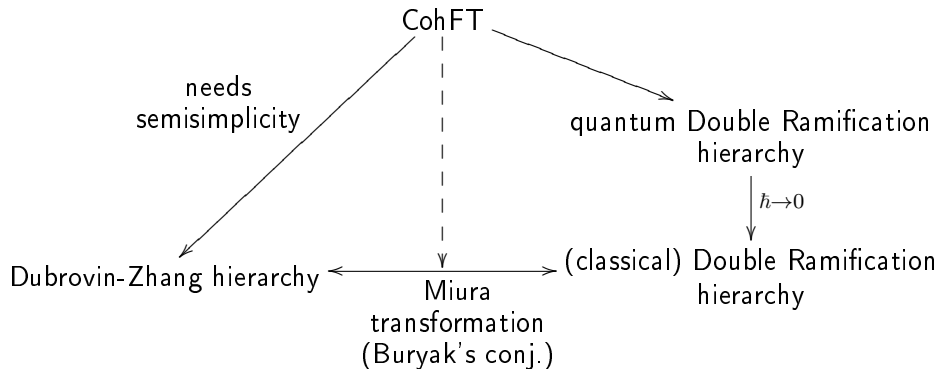
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DZ hierarchy : the central object is  $F(t_*^*; \varepsilon)$ , which is interpreted as the (log of the) tau function of the topological ( $u^\alpha(x, 0; \varepsilon) = x\delta_1^\alpha$ ) solution to the system of PDEs. Hamiltonians and Poisson structure can be reconstructed from it.

## Dubrovin-Zhang hierarchy of a semisimple CohFT

$$F(t_0^*, t_1^*, \dots; \varepsilon) = \sum_{g \geq 0} F_g(t_0^*, t_1^*, \dots) \varepsilon^{2g}, \quad \Omega_{\alpha, p; \beta, q}(t_0^*, t_1^*, \dots; \varepsilon) = \frac{\partial^2 F(t_0^*, t_1^*, \dots; \varepsilon)}{\partial t_p^\alpha \partial t_q^\beta}$$

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Genus 0 :  $\begin{cases} \bar{h}_{\alpha, p}^{[0]}(v^*) = \Omega_{\alpha, p+1; 1, 0}^{[0]}(t_0^* = v^*, 0, 0, \dots) \\ (K_v^{\text{DZ}})^{\alpha\beta} = \eta^{\alpha\beta} \partial_x \end{cases}$

$$v^\alpha(x, t_0^*, t_1^*, \dots) \text{ solution to } \frac{\partial v^\alpha}{\partial t_q^\beta} = \{v^\alpha, \bar{h}_{\beta, q}^{[0]}\}_{\text{DZ}}$$

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Proposition (Eguchi-Getzler-Xiong, DZ, Buryak-Posthuma-Shadrin)

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# Double ramification hierarchy

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Historically this came first, invented in [Buryak '14].

Classical limit :  $\frac{1}{\hbar}[F, \overline{G}]|_{\hbar=0} = \{\cdot, \cdot\}$  Poisson bracket in std form

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**Conjecture (DR/DZ equivalence, Buryak '14)**

*There exists a Miura transformation  $w^\alpha \mapsto u^\alpha$  such that*

$$\overline{h}_{\alpha,p}^{\text{DZ}}(w_*^*; \varepsilon) \mapsto \overline{g}_{\alpha,p}(u_*^*; \varepsilon) \quad \{\cdot, \cdot\}^{\text{DZ}} \mapsto \{\cdot, \cdot\}$$

# Double ramification tau structure

DR tau structure :  $h_{\alpha,p} := \frac{\delta \bar{g}_{\alpha,p}}{\delta u^1} \implies \begin{cases} \bar{h}_{\alpha,p} = \bar{g}_{\alpha,p} \\ \{h_{\alpha,p-1}, \bar{h}_{\beta,q}\} = \{h_{\beta,q-1}, \bar{h}_{\alpha,p}\} \end{cases}$

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DR tau function : tau function associated to  $\tilde{u}^\alpha(x, 0; \varepsilon) = x \delta_1^\alpha$  :

$$F^{\text{DR}}(t_*; \varepsilon) =: \sum_{g \geq 0} \varepsilon^{2g} F_g^{\text{DR}}(t_*), \quad \text{where}$$

$$F_g^{\text{DR}}(t_*) =: \sum_{\substack{n \geq 0 \\ 2g-2+n > 0}} \frac{1}{n!} \sum_{d_1, \dots, d_n \geq 0} \left\langle \prod_{i=1}^n \tau_{d_i}(e_{\alpha_i}) \right\rangle_g^{\text{DR}} \prod_{i=1}^n t_{d_i}^{\alpha_i}.$$

# Strong DR/DZ equivalence

Proposition (Buryak-Dubrovin-Guéré-R. '16)

$$\langle \tau_{d_1}(e_{\alpha_1}) \cdots \tau_{d_m}(e_{\alpha_m}) \rangle_g^{\text{DR}} = 0$$

when  $\sum_{i=1}^m d_i > 3g - 3 + m$  or  $\sum_{i=1}^m d_i \leq 2g - 2$ .

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Idea : We know that normal Miura transformations of the form

$$\tilde{u}^\alpha = w^\alpha + \eta^{\alpha\mu} \partial_x \{ \mathcal{F}, \bar{h}_{\mu,0}^{\text{DZ}} \}^{\text{DZ}}$$

generated by  $\mathcal{F}(w_*^*, \varepsilon) \in \mathcal{A}^{[-2]}$ , changes tau functions by

$$\tilde{F}(t_*^*; \varepsilon) = F(t_*^*; \varepsilon) + \mathcal{F}(w_*^*(x, t_*^*; \varepsilon); \varepsilon)|_{x=0}$$

Can we find  $\mathcal{F}(w_*^*; \varepsilon)$  so that  $\tilde{F}(t_*^*; \varepsilon)$  satisfies the selection rule ?

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## Theorem (BDGR '16)

$\exists!$   $\mathcal{F}(w_*^*; \varepsilon) \in \mathcal{A}^{[-2]}$  such that  $F^{\text{red}} := F + \mathcal{F}(w_*^*; \varepsilon)|_{x=0}$  satisfies the above selection rules.

## Conjecture (Strong DR/DZ conjecture)

The DR and DZ hierarchies are equivalent via the normal Miura transformation generated by the unique  $\mathcal{F}(w_*^*; \varepsilon)$  found above ( $\iff F^{\text{red}} = F^{\text{DR}}$ ).

# Strong DR/DZ equivalence

Theorem (B '14, BR '14, BG'15, BDGR '16)

*The strong DR/DZ equivalence conjecture holds for the trivial CohFT, the full Hodge class, Witten's 3-, 4- and 5-spin classes and the GW theory of  $\mathbb{P}^1$ .*

Remark : for degree reasons, if the conjecture is true for the ADE Fan-Jarvis-Ruan-Witten CohFTs, the normal Miura transformation is trivial.



# Quantization of important integrable hierarchies

- KdV :

$$\overline{G}_1 = \int \left( \frac{u^3}{6} + \frac{\varepsilon^2}{24} uu_{xx} - \frac{i\hbar}{24} u \right) dx$$

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Generating series for  $\varepsilon = 0$  :  $\sum_{d \geq -1} y^d G_d|_{\varepsilon=0} = \frac{1}{y^2 S(\sqrt{i\lambda}y)} e^{yS(\frac{\lambda}{\sqrt{i}}y\partial_x)u} - y^{-2}$

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- ILW :

$$\overline{G}_1 = \int \left( \frac{u^3}{6} + \sum_{g \geq 1} \varepsilon^{2g} \mu^{g-1} \frac{|B_{2g}|}{2(2g)!} uu_{2g} - \frac{i\hbar}{24} u - i\hbar \sum_{g \geq 1} \varepsilon^{2g-2} \mu^g \frac{|B_{2g}|}{2(2g)!} uu_{2g} \right) dx$$

(matches operator  $c_1(\mathcal{O}/\mathcal{I}) \bullet$  in  $QH^*((\mathbb{C}^2)^{[n]})$ ) [Okounkov-Pandharipande]

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 where  $S(z) := \frac{e^{\frac{z}{2}} - e^{-\frac{z}{2}}}{z}$

- ILW :

$$\overline{G}_1 = \int \left( \frac{u^3}{6} + \sum_{g \geq 1} \varepsilon^{2g} \mu^{g-1} \frac{|B_{2g}|}{2(2g)!} uu_{2g} - \frac{i\hbar}{24} u - i\hbar \sum_{g \geq 1} \varepsilon^{2g-2} \mu^g \frac{|B_{2g}|}{2(2g)!} uu_{2g} \right) dx$$

(matches operator  $c_1(\mathcal{O}/\mathcal{I}) \bullet$  in  $QH^*((\mathbb{C}^2)^{[n]})$ ) [Okounkov-Pandharipande]

- Toda :

$$\overline{G}_{1,1} = \int \left( \frac{(u^1)^2 u^\omega}{2} + \sum_{g \geq 1} \varepsilon^{2g} \frac{B_{2g}}{(2g)!} u^1 u_{2g}^\omega + q \left( \frac{e^{\frac{\varepsilon \partial_x}{2}} + e^{-\frac{\varepsilon \partial_x}{2}}}{2} u^\omega - 2 \right) e^{S(\varepsilon \partial_x)u^\omega} \right. \\ \left. + qu^\omega - \frac{i\hbar}{12} u^1 + i\hbar \sum_{g \geq 1} \varepsilon^{2g-2} \frac{B_{2g}}{(2g)!} u_{2g}^\omega u^1 \right) dx.$$

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## Proposition (BDGR '16)

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	CohFT	$\Gamma$	CohFT $^\Gamma$
Fan-Jarvis-Ruan-Witten theory :	$A_{2n-1}$	$\mathbb{Z}/2\mathbb{Z}$	$C_n$
	$E_6$	$\mathbb{Z}/2\mathbb{Z}$	$F_4$
	$D_4$	$\mathbb{Z}/3\mathbb{Z}$	$G_2$

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- Even part of GW theory :

Quintic threefold :

$$\bar{g}_{1,1} = \int \left( \frac{1}{2}(u^1)^2 u^4 + \frac{5}{6}(u^2)^3 + u^1 u^2 u^3 + \sum_{d=1}^{\infty} c_d (du^2 - 2) q^d e^{du^2} + \frac{25\varepsilon^2}{3} (u_x^1)^2 \right) dx$$

DRH for smooth varieties with  $c_1(X) \leq 0$ 

## Proposition

Let  $X$  be a smooth variety with  $\dim X > 0$  and non-positive first Chern class. Let  $1, \theta_1, \dots, \theta_M$  be a homogeneous basis for  $H^{\text{even}}(X, \mathbb{Q})$  (hence with  $\deg \theta_i \geq 2$ ). Then

$$g_{\alpha,p} = g_{\alpha,p}^{[0]} + \delta_{\alpha,1} \frac{\varepsilon^2}{24} \frac{\chi(X)}{p!} (u^1)^p u_{xx}^1,$$

where  $\chi(X)$  is the Euler characteristic of  $X$  and  $u^1$  is the variable associated with the class 1.

Examples : all Calabi-Yau manifolds, surfaces of general type, Enriques surfaces, degree  $D$  hypersurfaces in  $\mathbb{C}P^N$  with  $D > N > 1$ , etc.



DRH for smooth varieties with  $c_1(X) \leq 0$ Examples :

- $c_1(X) < 3 - \dim X \implies \bar{g}_{1,1} = \int \left( \int_X \frac{\theta^3}{3!} - \varepsilon^2 \frac{\chi(X)}{24} (u_x^1)^2 \right) dx$ , e.g.  $K3$  surfaces ( $c_1(X) = 0$ ,  $\chi(X) = 24$ ,  $\dim H^{\text{ev}} = 24$ ) :

$$\bar{g}_{1,1} = \int \left( \int_X \frac{\theta^3}{3!} - \varepsilon^2 (u_x^1)^2 \right) dx$$

- smooth hypersurfaces of degree  $D$  in  $\mathbb{P}^N$  with  $c_1(X) = (N + 1 - D)H \leq 0$ , e.g. quintic ( $c_1(X) = 0$ ,  $\chi(X) = -200$ ,  $\dim H^{\text{ev}} = 4$ ).
- Enriques surface  $X = \frac{K3}{\sigma}$  ( $2c_1(X) = 0$ ,  $\chi(X) = 12$ ,  $\dim H^{\text{ev}} = 12$ ) :

$$\bar{g}_{1,1} = \int \left( \int_X \frac{\theta^3}{3!} - \varepsilon^2 \frac{(u_x^1)^2}{2} \right) dx$$

- Enriques CY3  $X = \frac{K3 \times E}{(\sigma, -1)}$  ( $c_1(X) = 0$ ,  $\chi(X) = 0$ ,  $\dim H^{\text{ev}} = 24$ ) :

$$\bar{g}_{1,1} = \bar{g}_{1,1}^{[0]}$$

# Classification of hierarchies of DR type

The recursion relation

$$\begin{cases} G_{\alpha,d+1} = (D-1)^{-1} \partial_x^{-1} [G_{\alpha,d}, \overline{G}_{1,1}] \\ G_{\alpha,-1} = \eta_{\alpha\mu} u^\mu \end{cases}$$

(maybe together with string equation  $\frac{\partial G_{\alpha,d+1}}{\partial u^1} = G_{\alpha,d}$  or the more general  $\frac{\partial G_{\alpha,d+1}}{\partial u^\beta} = \partial_x^{-1} [G_{\alpha,d}, \overline{G}_{\beta,0}]$ ) can be used to look for all  $\overline{G}_{1,1}$  that produce commuting hierarchies.

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Fact :

In  $\dim V = 1$  at low genus ( $g \leq 7$ ) we find a family of  $\overline{G}_{1,1}$  with one new parameter at each genus (even at  $\hbar = 0$ ). These parameters correspond to the most general CohFT (a product of any number of Hodge classes  $\Lambda(s) = \sum s^i \lambda_i$ ).

Fact : In  $\dim V = 2$  and  $\hbar = 0$  we start finding partial CohFTs.

# Computing Gromov-Witten invariants by degeneration

The degeneration formula for (relative) GW invariants can be used together with the DR hierarchy to compute GW invariants. In the simple case of  $\mathbb{P}^1 \times \mathbb{P}^1$  it looks something like :

$$e^{F_{\mathbb{P}^1 \times \mathbb{P}^1}(t^{(1,1)}, t^{(\omega,1)}, s_d^{(1,\omega)}, s_d^{(\omega,\omega)}; \varepsilon, \hbar)} = \left[ e^{F_{(\mathbb{P}^1 \times \mathbb{P}^1, D_\infty)}\left(\frac{\partial}{\partial p_{>0}^1}, \frac{\partial}{\partial p_{>0}^\omega}; \varepsilon, \hbar\right)} e^{\sum s_d^\alpha \bar{G}_{\alpha,d}\left(p_{\geq 0}^1, p_{\geq 0}^\omega, \frac{\partial}{\partial p_{>0}^1}, \frac{\partial}{\partial p_{>0}^\omega}; \varepsilon, \hbar\right)} e^{F_{(\mathbb{P}^1 \times \mathbb{P}^1, D_0)}(p_{>0}^1, p_{>0}^\omega; \varepsilon, \hbar)} \right]_{p_*^* = 0}$$

where  $\alpha = (1, \omega), (\omega, \omega)$  and  $p_0^1 = t^{(1,1)}, p_0^\omega = t^{(\omega,1)}$ .

Changing the caps on the left/right with more general objects (for instance blowups) one gets other surfaces (see [Cooper-Pandharipande, Block-Goettsche]).

But we can compute any descendants (recursion) and insert  $\lambda$ -classes!

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